

STATE OF IOWA
DEPARTMENT OF COMMERCE
UTILITIES BOARD

IN RE: IOWA-AMERICAN WATER COMPANY	DOCKET NO. RPU-01-4 (TF-01-118) (TF-01-119)
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**ORDER DOCKETING TARIFF, ESTABLISHING PROCEDURAL SCHEDULE,
SCHEDULING CONSUMER COMMENT HEARINGS, AND
REQUIRING ADDITIONAL INFORMATION**

(Issued May 10, 2001)

On April 17, 2001, Iowa-American Water Company (Iowa-American) filed with the Utilities Board (Board) proposed tariffs, identified as TF-01-118 and TF-01-119. In TF-01-118, Iowa-American proposed a temporary increase that would produce additional revenue of approximately \$2.624 million. In TF-01-119, Iowa American proposed a permanent annual revenue increase of approximately \$2.993 million, or 14.7 percent, over current water rates. This represents an average 18.39 percent increase for Clinton district customers and an average 13.99 percent increase for Quad Cities district customers. To allow the Board time to fully consider the proposed increase, the tariffs will be docketed as a formal proceeding identified as Docket No. RPU-01-4.

Rules 199 IAC 7.4(6)"e"(6) and (16) provide that Iowa-American should include in the initial filing copies of its 2000 tax returns and annual report. Iowa-

American in the initial filing said this information was not yet available. Iowa-American will be required to provide this information as soon as it is available.

Pursuant to 199 IAC 7.7(16), the Board will schedule two consumer comment hearings, one in Davenport and one in Clinton, to provide an opportunity for Iowa-American's customers to express their views regarding the pending rate case, as well as the general quality of service provided by Iowa-American. However, persons with specific service complaints must follow the procedure prescribed in 199 IAC 6.2. Specific service complaints cannot be addressed at the consumer comment hearings.

IT IS THEREFORE ORDERED:

1. An investigation is instituted to determine the reasonableness of Iowa-American Water Company's proposed tariffs, identified as TF-01-118 and TF-01-119. This matter will be identified as Docket No. RPU-01-4, a formal contested case proceeding. Tariff filings TF-01-118 and TF-01-119 are suspended. The expenses reasonably attributable to this investigation shall be assessed to Iowa-American in accordance with Iowa Code § 476.10.

2. The following procedural schedule is established:

a. A consumer comment hearing will be held for the purpose of receiving comments from the general public on June 4, 2001, beginning at 7 p.m. at the West High School Cafeteria, 3505 West Locust Street, Davenport, Iowa. Persons with disabilities requiring assistive services or devices to observe or

participate should contact the Utilities Board at (515) 281-5256 in advance of the scheduled date to request that appropriate arrangements be made.

b. A consumer comment hearing will be held for the purpose of receiving comments from the general public on June 5, 2001, beginning at 7 p.m. at the Clinton Community College's Graphic Arts Technology Center, Room 10, 1951 Manufacturing Drive, Clinton, Iowa. Persons with disabilities requiring assistive services or devices to observe or participate should contact the Utilities Board at (515) 281-5256 in advance of the scheduled date to request that appropriate arrangements be made.

c. The parties shall notify the Board prior to August 31, 2001, if they desire a prehearing conference.

d. The Consumer Advocate Division of the Department of Justice (Consumer Advocate) and any intervenors shall file prepared direct testimony, with underlying workpapers and exhibits, on or before August 20, 2001. If a party references a data request in its prepared testimony, the data request shall be filed as an exhibit.

e. If Consumer Advocate and any intervenors find it necessary to file testimony in rebuttal to each other's direct testimony, they may file rebuttal testimony on or before September 10, 2001.

f. Iowa-American shall file its rebuttal testimony, with underlying workpapers and exhibits, on or before September 24, 2001.

g. Consumer Advocate and any intervenor shall file rebuttal testimony on any of issues raised initially in that party's direct testimony and responded to by another party, on or before October 8, 2001.

h. The parties shall file a joint statement of the issues on or before October 11, 2001.

i. All parties which choose to file a prehearing brief may do so on or before October 11, 2001.

j. A hearing shall be held beginning at 10 a.m. on November 5, 2001, for the purpose of receiving testimony and the cross-examination of all testimony. The hearing shall be held in the Iowa Utilities Board's Hearing Room, 350 Maple Street, Des Moines, Iowa. The parties shall appear one-half hour prior to the time of the hearing for the purpose of marking exhibits. Persons with disabilities requiring assistive services or devices to observe or participate should contact the Utilities Board at (515) 281-5256 in advance of the scheduled date to request that appropriate arrangements be made.

k. The parties may file simultaneous initial briefs on or before December 3, 2001.

l. All parties who filed initial briefs may file reply briefs on or before December 17, 2001.

3. In the absence of objection, all underlying workpapers shall become a part of the evidentiary record of these proceedings at the time the related testimony and exhibits are entered into the record.

4. In the absence of objection, all data requests and responses referred to in oral testimony or on cross-examination which have not been previously filed shall become a part of the evidentiary record of these proceedings. The party making reference to the data request shall file an original and six copies of the data request and response with the Board at the earliest possible time.

5. In the absence of objection, when the Board has called for further evidence on any issue and the evidence is filed after the close of the hearing, the evidentiary record will be reopened and the evidence will become part of the record five days after the evidence is filed with the Board. All evidence filed pursuant to this paragraph shall be filed no later than seven days after the close of the hearing in this proceeding.

6. Iowa-American Water Company shall file the information identified in this order as soon as it is available.

UTILITIES BOARD

/s/ Allan T. Thoms

/s/ Susan J. Frye

ATTEST:

/s/ Judi K. Cooper
Acting Executive Secretary

/s/ Diane Munns

Dated at Des Moines, Iowa, this 10th day of May, 2001.